

Bloomberg SEF LLC: Disciplinary Action

For trade dates June 13, 2022, June 17, 2022, and June 30, 2022, BNP Parabis violated BSEF Rule 516 by failing to use BSEF's offset and correct functionality.

Summary Notice of Fine (\$1250)

Summary Notice of Fine (\$1500)

Summary Notice of Fine (\$1750)

Effective Date: March 23, 2023