

**NOTICE OF AMENDMENT – MiFID II / MiFIR MARKET DATA OBLIGATIONS
BLOOMBERG MULTILATERAL TRADING FACILITY DATA SERVICES**

This Notice of Amendment (this “Notice”) amends SR’s Data Subscription Agreement (the “Agreement”) with **BLOOMBERG TRADING FACILITY LIMITED** (“BTF”) and **BLOOMBERG TRADING FACILITY B.V.** (“BTFE”, and, together with BTF, “SP”), with effect from **January 1, 2022**. The term “SR” and all other capitalized terms not otherwise defined herein shall have the definition set forth in the Agreement.

SP is subject to certain obligations (the “Market Data Obligations”) under Directive 2014/65/EU (“MiFID II”), Regulation (EU) No 600/2014 (“MiFIR”) and related implementing legislation, delegated acts, technical standards and guidance. References to MiFID II, MiFIR and other EU regulations include equivalent United Kingdom requirements.

In order to comply with the Market Data Obligations, SP is required to clarify the following points related to the Agreement:

First, if SP audits SR for potential non-compliance related to fees and charges, SP is not seeking to generate additional revenues beyond what SP would have received had SR complied with the terms of the Agreement. Recovery of revenues may include the retroactive application of fees and charges that otherwise would have been payable.

Secondly, if SP audits SR for potential non-compliance with the Agreement, SP expects SR to provide the following minimum records for the preceding three (3) year period:

- (1) information on individual end-users with respect to entitled/enabled Data, including the periods of entitlement/enablement for each relevant category of Data; and
- (2) general descriptions of SR’s policies and procedures to comply with the terms of the Agreement.

SP will limit any such audit to what is necessary to detect non-compliance with the terms of the Agreement.

This Notice amends and supplements and forms part of the Agreement. Except as set forth in this Notice, the Agreement shall remain unchanged and in full force and effect.

BLOOMBERG TRADING FACILITY LIMITED, is a limited company incorporated in England and Wales under number 9019569, whose registered office is at 3 Queen Victoria Street, London, England, EC4N 4TQ, United Kingdom, and is authorized and regulated by the UK Financial Conduct Authority No. 629485.

BLOOMBERG TRADING FACILITY B.V., is a limited company incorporated in the Netherlands under number 71621679, whose registered office is at Gebouw Byzantium, Stadhouderskade 14G, 1054 ES Amsterdam, Netherlands, and is authorized and regulated by the Netherlands Authority for the Financial Markets (AFM) (*Autoriteit Financiële Markten*).

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