

Bloomberg Tradebook Canada Company (“Tradebook Canada”) Marketplace Disclosures**A. Fees**

Tradebook Canada does not charge participants any fees. Applicable fees for trading on the BMTF System and the BTFE System (as described below), which are charged directly by Tradebook Canada’s affiliate are disclosed at:

- <https://www.bloomberg.com/professional/tradebook-compliance/?bbgsum-page=DG-WS-PROF-SOLU-TBOOK&mpam-page=21140&tactic-page=431651>

B. Systems’ Functionalities

Access to the BMTF System and BTFE System, together, the “**Systems**” (described below) constitute an alternative trading system in Canadian Debt Securities (defined below), which is made available to **Canadian Participants**, which are participants that (1) are located in a Canadian jurisdiction, including participants with their headquarters or legal address in a Canadian jurisdiction (as indicated by a participant’s Legal Entity Identifier (LEI)) and all traders conducting transactions on its behalf, regardless of the traders’ physical location (inclusive of non-Canadian jurisdiction branches of Canadian jurisdiction legal entities), as well as any trader physically located in a Canadian jurisdiction who conducts transactions on behalf of any other, and (2) qualify as “institutional customers” as defined in Rule 1 of the Investment Industry Regulatory Organization of Canada Rules.

Using the Systems, Canadian Participants may post and request quotations and execute trades electronically in Canadian Debt Securities using the trading functionalities described below.

“**Canadian Debt Securities**” are Canadian securities or debt securities of Canadian issuers that are denominated in the Canadian dollar, including: (a) debt securities issued or guaranteed by the Government of Canada or the government of a jurisdiction of Canada (including agencies or instrumentalities thereof); (b) debt securities issued or guaranteed by a municipal corporation in Canada; (c) debt securities, and preferred and convertible securities issued or guaranteed by Canadian corporate or other non-governmental issuers; and (d) asset-backed securities (including mortgage backed securities) and collateralized mortgage obligations issued or guaranteed by a Canadian issuer, denominated in the Canadian dollar.

1. RFQ Functionality

Using an RFQ functionality, a Canadian Participant (a “**RFQ Requestor**”) can send an RFQ message to one or more liquidity providers (each, a “**RFQ Respondent**”) that have pre-established relationships with the RFQ Requestor. If an RFQ Respondent wishes to respond, it will provide a quote to the RFQ Requestor. The response messages from the RFQ Respondents to the RFQ Requestor will appear on a screen viewable only by the RFQ Requestor; the RFQ

Respondents will not know the identity of the other RFQ Respondents. The RFQ Requestor can click on a bid or offer from an RFQ Respondent to send an acceptance message.

2. RFT Functionality

Using an RFT functionality, a Canadian Participant can send to a liquidity provider that has a pre-established relationship with the Canadian Participant a message requesting execution of a transaction on the terms stated in the message.

C. Access Requirements

Canadian Participants may access Tradebook Canada and transact using the Systems via an approved service provider (Bloomberg Terminal access is provided this way by Bloomberg Finance L.P.), via application programming interface (“API”), a non-Bloomberg API or venue Direct Portal, as applicable. For additional information regarding standards of access to be complied with, please see “Tradebook Canada Company - Technological Requirements Disclosure”, which is available at:

- <https://www.bloomberg.com/professional/tradebook-compliance/?bbgsum-page=DG-WS-PROF-SOLU-TBOOK&tactic-page=431651>

D. Conflicts of Interest Policy

Tradebook Canada’s conflicts of interest policy addresses identifying, disclosing, avoiding or where appropriate actively managing potential conflicts of interest and helps ensure that the company’s personnel and directors act in accordance with the highest ethical standards. If a potential conflict cannot be effectively managed after taking all appropriate steps to ensure, with reasonable confidence, that risk of damage to the interests of a participant will be prevented, Tradebook Canada will disclose to the participant in all cases where a reasonable client would expect to be informed as follows: (a) for new participants, prior to onboarding the participant; and (b) for existing participants, either as the conflict of interest occurs or in the case of a transaction-related conflict of interest, prior to entering into the transaction with the participant if Tradebook Canada were ever to act as an executing broker.

For more information on Tradebook Canada’s conflicts of interest policy, please email Tradebook Canada Compliance at tbc_cmpl@bloomberg.net.

E. Venue Selection

Prior to launching an RFQ or RFT on the Systems, Canadian Participants are required to select, via a drop-down menu, the System where a transaction will ultimately be executed. For Canadian Debt Securities, the user interface will indicate, in addition to the selected System, that transactions are taking place through access provided by Tradebook Canada.

Canadian Participants of Tradebook Canada have transparency regarding which System they are executing orders on by reference to (1) a System venue designation on trade execution confirmations, and (2) System venue designations on RFQ tickets, which are launched by buy-side Canadian Participants. Such trade execution confirmations and RFQ tickets also indicate that access to such System is provided by Tradebook Canada [under an ATS registration] [IS THIS RIGHT?].

Canadian Participants may use this RFQ ticket designation and/or trade execution confirmation to always be aware of the legal entity that is providing access to or operating the System that they are trading on, and to which a Canadian Participant would have recourse in the event of a technical issue where the Canadian Participant might seek contractual resolution.

F. Operating Hours

The hours of operation for the Systems are 7:00 p.m. to 5:00 p.m. (+1) (EST) on all TARGET business days. A “TARGET” business day means a day on which the Trans - European Automated Real - time Gross Settlement Express Transfer (TARGET) System, or any successor thereto, is operating credit or transfer instructions in respect of payments in euro.