

**Bloomberg SEF LLC: Disciplinary Action**

For trade dates August 4, 2022, December 9, 2022 and April 11, 2023, JPMorgan Chase Bank, National Association violated BSEF Rule 516 by failing to use BSEF's offset and correct functionality.

Summary Notice of Fine (\$1250)

Summary Notice of Fine (\$1750)

Summary Notice of Fine (\$2000)

Effective Date: November 15, 2023