

Prohibition on Sharing Trader IDs of Authorised Traders When Accessing the Market

Participants are reminded of the obligation to act in accordance with the Bloomberg Tradebook Singapore Pte Ltd (“BTSP”) Market Rulebook (the “Market Rulebook”). Specifically, Participants are reminded that Rule 205(b) requires that each Participant’s Authorised Trader is a natural person and has a Trader ID. Participants are also reminded that Rule 206(e) requires each Participant to ensure the accuracy of the registration information of its Authorised Traders at all times.

As disclosed in Rule 206(a), BTSP shall issue each Authorised Trader a Trader ID. Furthermore, as stated in Rule 206(c), each Participant must ensure that use of any Trader ID is limited to its Authorised Traders. Collectively, these sub-provisions require that **each Authorised Trader must be a natural person with a distinct Trader ID that enables BTSP to personally identify that person (i.e., a Unique User ID (UUID) mapped to an “Acceptable User”):**

Acceptable User: Joe Smith (natural person with First and Last name)

Unacceptable User: FX1 Flowdesk, Noname AssetManagement, SecBroker9 LLC (anything generic and/or unrelated to a natural person)

This convention is only required for the User, not the Username/Login Name or the associated email address. The User can be checked via IAMX<GO>.

Multiple users of a single Trader ID (sharing of a single Trader ID) are strictly prohibited from accessing the Market. Failure to follow the Market Rulebook may result in suspension of an Authorised Trader, or in egregious cases, suspension of a Participant (and all Authorised Traders of such Participant).

Capitalized terms used but not defined herein shall have the meanings ascribed to them in the Market Rulebook, which is available under “Participant Onboarding” at BTBS<GO>.

Effective Date: June 10, 2021