

Sanctions data for enterprise.

Leveraging Bloomberg Data License to abide by sanction rules

Background

Financial sanctions are penalties imposed by one nation, or group of nations, onto another nation, or group of nations to deprive a target of the use of its assets and to deny it access to other financial systems such as the benefits of trade, transactions and services. Sanctions can be comprehensive, where all commercial activity is prohibited, or targeted, where only certain types of activity is prohibited. Only a fraction of all sanctioned companies are listed by official sources yet sanction programs state majority-owned subsidiaries are also in scope when a company is sanctioned. Identifying sanctioned entities and securities and knowing the type of sanction can be a complex process yet organizations are required to abide by sanction rules in all the jurisdictions where they do business. Fines for violating sanction regulations can be substantial and can include economic fines or imprisonment.

Bloomberg's solution

Bloomberg provides high-quality, sanction data by daily monitoring sanctions in 10 global jurisdictions including: U.S. (OFAC), EU, U.N., Canada, U.K., Switzerland, Japan, Australia, Hong Kong and Singapore. Our dedicated team of experts ensures, often by working with local counsel, that legislation is interpreted correctly and data is promptly updated.

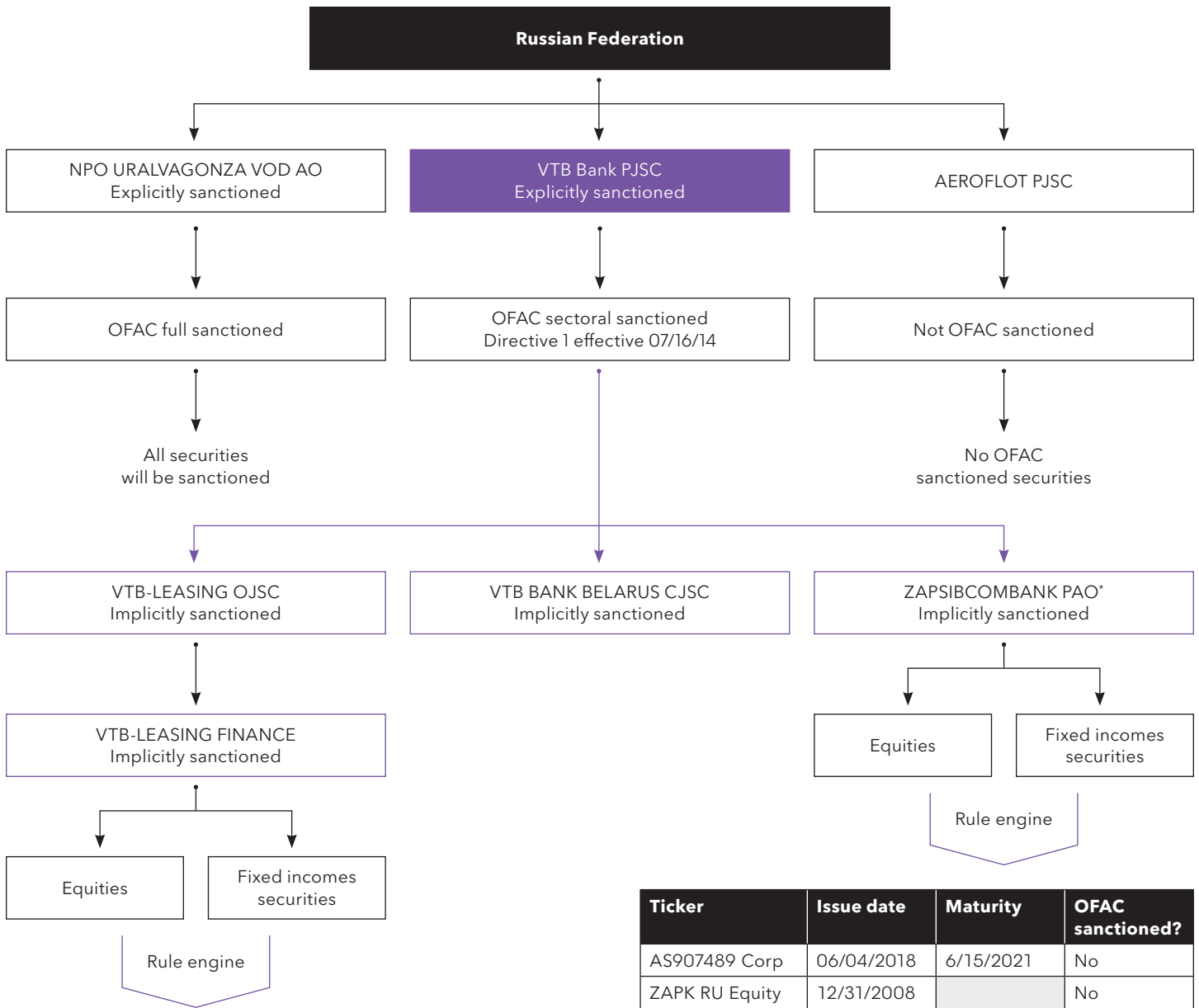
Bloomberg leverages its extensive corporate hierarchy database to identify not just explicitly stated sanctions but also implicitly sanctioned entities and instruments. Our product distinguishes comprehensive from sectoral sanctioned entities in order to determine the type of restriction on related debt and equity.

Sanctions data is available through the Data License platform to integrate into your workflows and systems. This data helps clients meet a variety of use cases, including pre-trade compliance, counter party risk and portfolio monitoring.

Why Bloomberg's sanctions data?

- **It's detailed** – so you know which type of sanctions apply to a potential counterparty or client
- **It's comprehensive** – so you have a complete picture of sanctioned entities
- **It's accurate** – so you can make confident pre-trade compliance decisions
- **It's monitored daily** – so you have the most up to date sanctioned data

By combining detailed legal entity sanctions information with a legal entity's corporate structure, the securities they have issued and a sophisticated rules engine, Bloomberg is able to provide a complete sanctions solution for the industry.



Ticker	Issue date	Maturity	OFAC sanctioned?
EK7506976 Corp	12/30/2014	12/17/2024	Yes
EK7485999 Corp	08/15/2014	08/05/2022	Yes
EK7504690 Corp	12/30/2014	12/21/2021	Yes
EK7503791 Corp	07/30/2014	07/17/2024	Yes
EK7487375 Corp	12/30/2014	12/20/2022	Yes
EK7504211 Corp	12/30/2014	12/17/2024	Yes
EK7508121 Corp	12/30/2014	12/20/2022	Yes
EK7502892 Corp	12/04/2014	11/25/2021	Yes

Ticker	Issue date	Maturity	OFAC sanctioned?
AS907489 Corp	06/04/2018	6/15/2021	No
ZAPK RU Equity	12/31/2008		No
ZAPK RR Equity	12/31/2008		No
ZAPKP RR Equity	12/31/2008		No
ZAPKP RU Equity	12/31/2008		No

*Acquired Jan 31, 2019 so securities issued before the acquisition are not sanctioned.

Take the next step.

For additional information, press the <HELP> key twice on the Bloomberg Terminal®

Beijing
+86 10 6649 7500
Dubai
+971 4 364 1000
Frankfurt
+49 69 9204 1210

Hong Kong
+852 2977 6000
London
+44 20 7330 7500
Mumbai
+91 22 6120 3600

New York
+1 212 318 2000
San Francisco
+1 415 912 2960
São Paulo
+55 11 2395 9000

Singapore
+65 6212 1000
Sydney
+61 2 9777 8600
Tokyo
+81 3 4565 8900