

Bloomberg Sanctions and Compliance Screening Solution

Our fully automated Sanctions and Compliance Screening Solution covers entities globally, features include:

- Quick and easy integration into internal workflow and easy-to-use UI
- For KYC, pre-trade counterparty risk assessment and supply chain due diligence with one unified data solution
- A dedicated team of subject matter experts providing 24/7 customer service worldwide
- Daily automated screening and database checks with built-in logic checks to ensure accuracy and timeliness
- SOC 2 certification for Suitability of the Design and Operating Effectiveness of Controls

Evolving sanctions landscape and data challenges

Ever-evolving international relations are creating constant changes in the imposition of sanctions, export controls and trade restrictions. To comply with pre-trade counterparty risk assessment and supply chain due diligence obligations, firms need to identify entities subject to sanctions, export controls and trade restrictions. Further, they need to ensure they are not doing business with fully sanctioned entities or are obtaining the requisite export license where applicable. Identifying entities subject to sanctions, export controls and trade restrictions has become a complex, manual and time-consuming process, which can now be simplified with the Bloomberg Sanctions and Compliance Screening Solution.

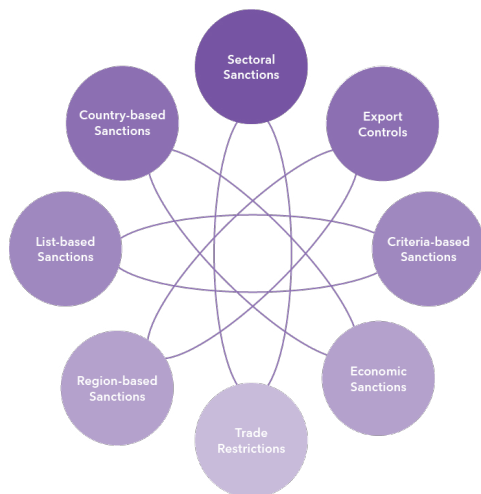
Bloomberg sanctions, export controls & trade restrictions data

Bloomberg provides high-quality sanctions data for entities globally, through the daily monitoring of sanctions in 10 global jurisdictions including: the U.S., E.U., U.N., Canada, U.K., Switzerland, Japan, Australia, Hong Kong and Singapore. Bloomberg has a dedicated team of experts to ensure, often by working with local counsel, that legislation is interpreted correctly and data is promptly updated. The solution provides a comprehensive coverage of entities subject to explicit or implicit sanctions based on ownership and the 50 Percent Rule.

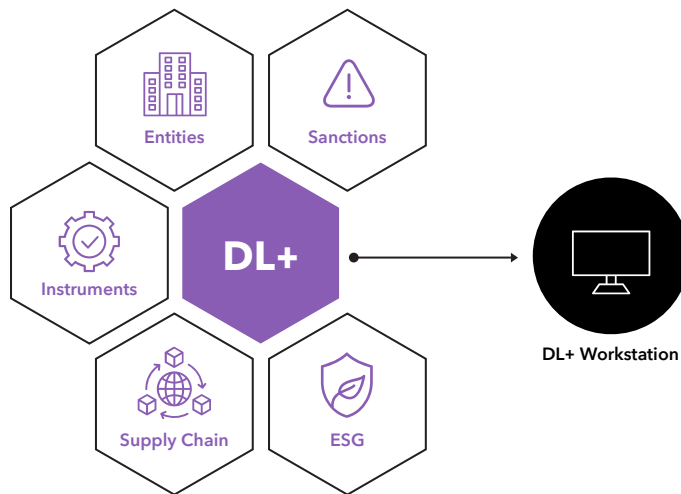
Bloomberg also provides comprehensive export controls and trade restrictions data that allows firms to screen entities on several export controls and trade restriction lists in the U.S. Bloomberg identifies entities, or securities of such entities that are present on at least one of the following lists:

- BIS Lists
- UFLPA Entity Lists
- 889 List
- 1260H List
- FCC Covered List
- 5949 List

Bloomberg sanctions, export controls & trade restrictions data at large



DL+ Sanctions Data Manager



Data License Plus (DL+)

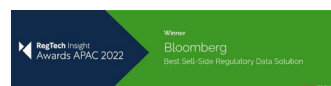
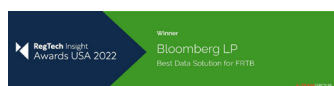
Aggregates, organizes, and links sanctions, export controls and trade restrictions data with other entities data such as supply chain data into a unified data model - ready to feed your different business workflows.

Automatic data sourcing

DL+ takes control of the monitoring, loading and linking of bulk files across time, region and asset class, with minimal implementation effort.

Timed data refreshes

Scheduled refresh policies ensure consistent data is available for use across front, middle and back office systems.



Take the next step.

For additional information, press the <HELP> key twice on the Bloomberg Terminal®.

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