June 30, 2026

Bloomberg Tradebook Canada Company ("Tradebook Canada") Marketplace Disclosures

A. Fees

Tradebook Canada does not charge Canadian Participants (as defined below) any fees. Applicable fees for trading on the BTBS System, the BMTF System and the BTFE System (as described below) are provided by the operators of such Systems (the **"Operators"**).

B. How Orders are Entered, Interact, and Execute

Tradebook Canada does not execute any orders and does not conduct any principal trading. Please also see Section C below.

Access to the BTBS System, the BMTF System and the BTFE System, collectively, the **"Systems"** (described below) constitute an alternative trading system in Canadian Debt Securities and Foreign Debt Securities and access to negotiate trades in Foreign Non-Debt Securities on the BTBS System (each, defined below), which is made available to **Canadian Participants**, which are participants that (1) are located in a Canadian jurisdiction, including participants with their headquarters or legal address in a Canadian jurisdiction (as indicated by a participant's Legal Entity Identifier (LEI)) and all traders conducting transactions on its behalf, regardless of the traders' physical location (inclusive of non-Canadian jurisdiction branches of Canadian jurisdiction legal entities), as well as any trader physically located in a Canadian jurisdiction who conducts transactions on behalf of any other, and (2) qualify as "institutional customers" as defined in Rule 1 of the Canadian Investment Regulatory Organization ("**CIRO**") rules. Using the Systems, Canadian Participants may post and request quotations and negotiate/execute trades electronically in applicable instruments listed above using the trading functionalities described below.

"Canadian Debt Securities" are any unlisted debt securities, as that term is defined in National Instrument 21-101 *Marketplace Operation*, and any debt securities denominated in Canadian dollars.

"Foreign Debt Securities" are debt securities (as defined in National Instrument 31-103 *Registration Requirements, Exemptions and Ongoing Registrant Obligations* ("**NI 31-103**")) that are foreign securities (as defined in NI 31-103) or debt securities that are denominated in a currency other than the Canadian dollar, including:

- debt securities issued by the U.S. government (including agencies or instrumentalities thereof);
- debt securities issued by a foreign government;
- debt securities issued by corporate or other non-governmental issuers (U.S. and foreign);
- asset-backed securities (including mortgage-backed securities), denominated in either U.S. or foreign currencies; and
- money market instruments (U.S. and foreign), including commercial paper, agency discount notes, certificates of deposit, bankers' acceptances, promissory notes, guaranteed investment certificates (GICs) and bearer deposit notes.

"**Foreign Non-Debt Securities**" are any foreign securities (as defined in NI 31-103) that are not debt securities (as defined in NI 31-103), including:

(a) securities of foreign exchange-traded funds, which refers to a fund in continuous distribution that is incorporated, formed or created under the laws of a foreign jurisdiction; and

(b) stock loans, which refer to securities lending arrangements in which securities are temporarily transferred from one party (the lender) to another party (the borrower) in return for a fee. Under the lending arrangement, the borrower is obliged to redeliver to the lender the securities or identical securities to those that were transferred or lent, either on demand or at the end of the loan term.

C. All Order Types

Tradebook Canada does not execute any orders. The Systems offer Canadian Participants the ability to display quotations to other selected participants or request quotations from other selected participants. After selecting a quotation, an order ticket will appear containing details of the proposed transactions a customer participant can complete and send to a dealer participant. The following functionalities or protocols may be used on the Systems, as applicable, to negotiate or execute trades in the applicable asset classes listed above:

1. RFQ Functionality

Using RFQ functionality, a Canadian Participant (a **"RFQ Requestor"**) can send an RFQ message to one or more liquidity providers (each, a **"RFQ Respondent"**) that have pre-established relationships with the RFQ Requestor. If an RFQ Respondent wishes to respond, it will provide a quote to the RFQ Requestor. The response messages from the RFQ Respondents to the RFQ Requestor will appear on a screen viewable only by the RFQ Requestor; the RFQ Respondents will not know the identity of the other RFQ Respondents, unless otherwise specified in a BTBS System User guide. The RFQ Requestor can click on a bid or offer from an RFQ Respondent to send an acceptance message.

2. RFT Functionality

Using RFT functionality, a Canadian Participant can send to a liquidity provider that has a pre-established relationship with the Canadian Participant a message requesting execution by the liquidity provider of a transaction on the terms stated in the message.

D. Access Requirements

Canadian Participants may access the BMTF and BTFE Systems via a venue approved service provider (BLOOMBERG Terminal access is provided this way), via application programming interface **("API")**, a non-Bloomberg API or venue Direct Portal. The BTBS System is accessible by Canadian Participants via a venue approved service provider (BLOOMBERG Terminal access is provided this way), via API or a non-Bloomberg API. For additional information regarding standards of access to be complied with, please see "Tradebook Canada Company - Technological Requirements
Disclosure", which is available at:

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If a liquidity maker Canadian Participant is a CIRO Dealer Member or transacts on the BTBS System through an affiliate that is a CIRO Dealer Member, it or such affiliate must comply with the requirements of UMIR Rule 6.4 – Trades to be on a Marketplace, including the requirements of UMIR Rule 6.4(1) that all trades must be executed on a marketplace unless otherwise exempted under UMIR Rule 6.4(2).

E. Conflicts of Interest

Tradebook Canada is committed to avoiding actual conflicts of interests, as well as to managing potential or perceived conflicts of interest that may arise in the course of its operations. Should any conflicts of interest arise in the future, such conflicts would be promptly assessed by compliance and legal personnel of Tradebook Canada, as well as by compliance and legal personnel of each of the Operators, and addressed through compliance training, Tradebook Canada's personnel's disclosure, client disclosure, client consent and/or avoidance, as appropriate. Tradebook Canada does not expect conflicts of interest to arise in the ordinary course because Tradebook Canada and the Operators do not engage in proprietary trading on the Systems and are not a party to any trade negotiated or executed on the Systems, which are bilaterally negotiated between the participant counterparties.

Tradebook Canada also supervises conflicts of interest via compliance procedures regarding review and approval of Tradebook Canada's personnel's outside business activity, private securities transactions and outside brokerage accounts.

F. Referral Arrangements

Tradebook Canada does not have any referral arrangements with any service providers.

G. Routing Arrangements

Tradebook Canada does not have any routing arrangements.

H. Indications of Interest

Please see Section C above.

I. Access Arrangements

Bloomberg L.P. provides technology services which provide access to the Systems. Bloomberg L.P.'s provision of technology services is required to be provided in a manner consistent with Tradebook Canada's obligations under its relevant agreements with its participants. Please also see Section D above and Tradebook Canada's Technological Requirements which are available at

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J. Hours of Operation of Any Testing Environments

A beta testing environment and blotter is available to fully onboarded liquidity maker Canadian Participants approximately 24 hours per day/ 7 days per week. The testing environment provides a replica of what a liquidity maker Canadian Participant sees in a "live" trading environment. Fully onboarded liquidity seeker "buy-side" Canadian participants may access a "demo mode" testing environment and blotter, available approximately 24 hours per day/ 7 days per week, which is similar to a "live" trading environment.