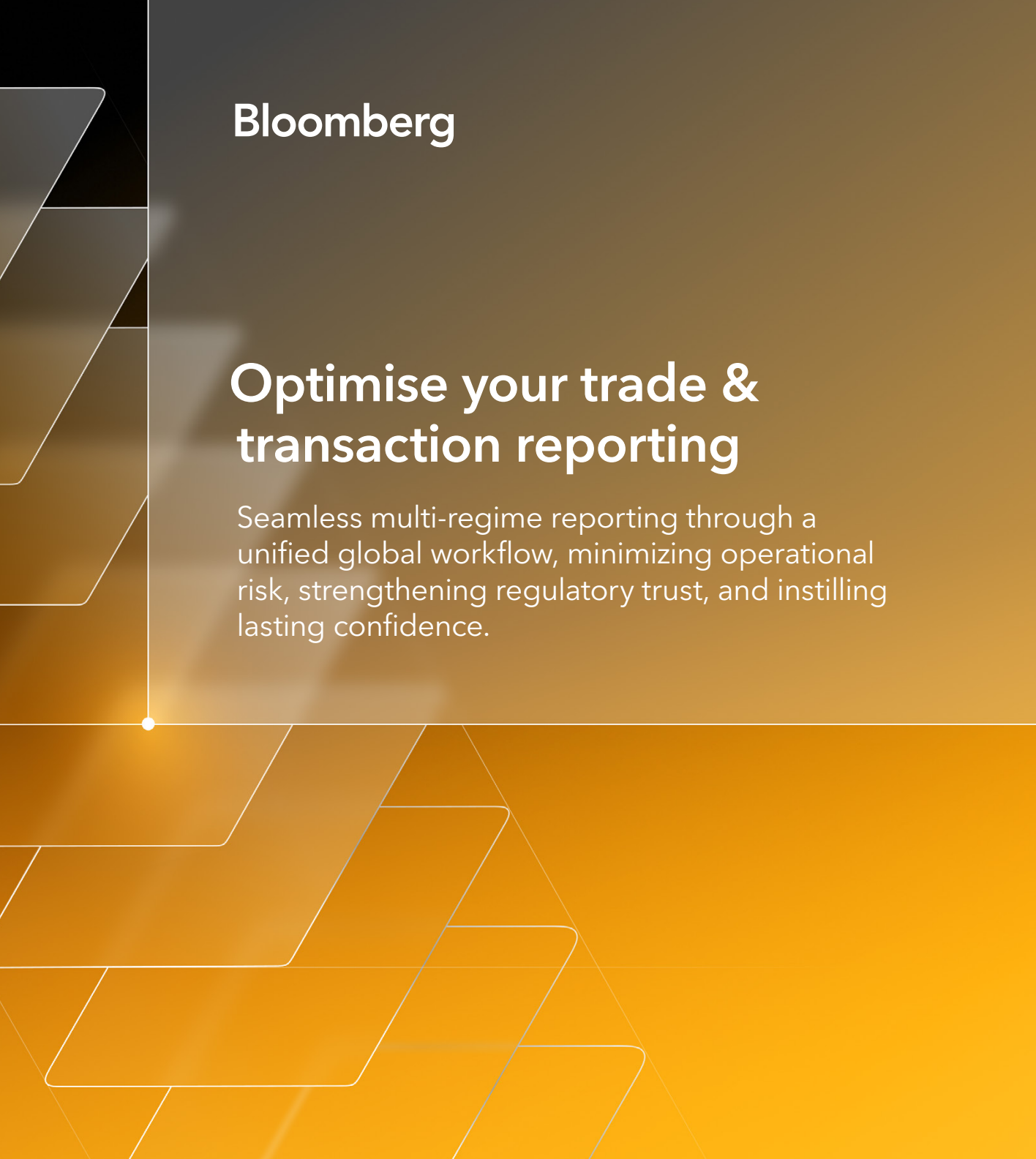




Bloomberg

Optimise your trade & transaction reporting

Seamless multi-regime reporting through a unified global workflow, minimizing operational risk, strengthening regulatory trust, and instilling lasting confidence.

Regulatory Reporting Services

Global multi-asset, multi-jurisdiction regulatory reporting solution.

As requirements for trade and transaction reporting expand and evolve, market participants face a significant challenge to remain compliant and manage their workload at a sustainable cost. To help clients meet these expanding obligations, Bloomberg is employing its expertise to provide a global, multi-asset class, multi-jurisdiction regulatory reporting solution.

Bloomberg's Reporting Hub (RHUB) system provides a complete suite of reporting tools for firms across all markets, including sell-side and buy-side firms, trading venues, inter-dealer brokers and central counterparty clearing houses (CCPs). The solution is easily integrated into firm's existing workflows.

Our offering enables compliance, operations and technology teams to efficiently manage the entire reporting workflow, with a focus on transparency and efficient exception management.

Clients of Bloomberg Regulatory Reporting services benefit from connectivity to all major reporting destinations, including trade repositories (TR), swaps data repositories (SDR), approved reporting mechanisms (ARM), approved publication arrangement (APA) and national competent authorities (NCA).

Bloomberg's Regulatory Reporting Services allow firms to strategically and sustainably tackle their reporting challenges by offering the most comprehensive G20 regulatory coverage.

A global solution

RHUB covers all major trade and transaction reporting regimes across the EU, UK, US and APAC – including MiFIR APA, MiFIR ARM, SFTR, EMIR, ASIC, MAS, HKMA, CSA and CFTC.

One transaction, multiple jurisdictions

RHUB generates reports for a specific regime, determines eligibility to the applicable jurisdiction(s) for a timely submission to the appropriate reporting destination(s).

Global data enrichment

RHUB provides substantial efficiency gains by tapping into Bloomberg's global reference data pools, including connectivity with third-party data providers when account credentials are shared with Bloomberg.

Integrated with Bloomberg AIM

Buy-side firms using Bloomberg Asset and Investment Manager (AIM) as their OMS can benefit from a native integration by generating reports in RHUB from AIM booking entries.

Connectivity, submission and feedback

RHUB creates seamless submission to, and feedback from, leading regulated reporting venues and national competent authorities – alleviating firms' need to comply with multiple proprietary or regulator-mandated formats.

Reports and analytics

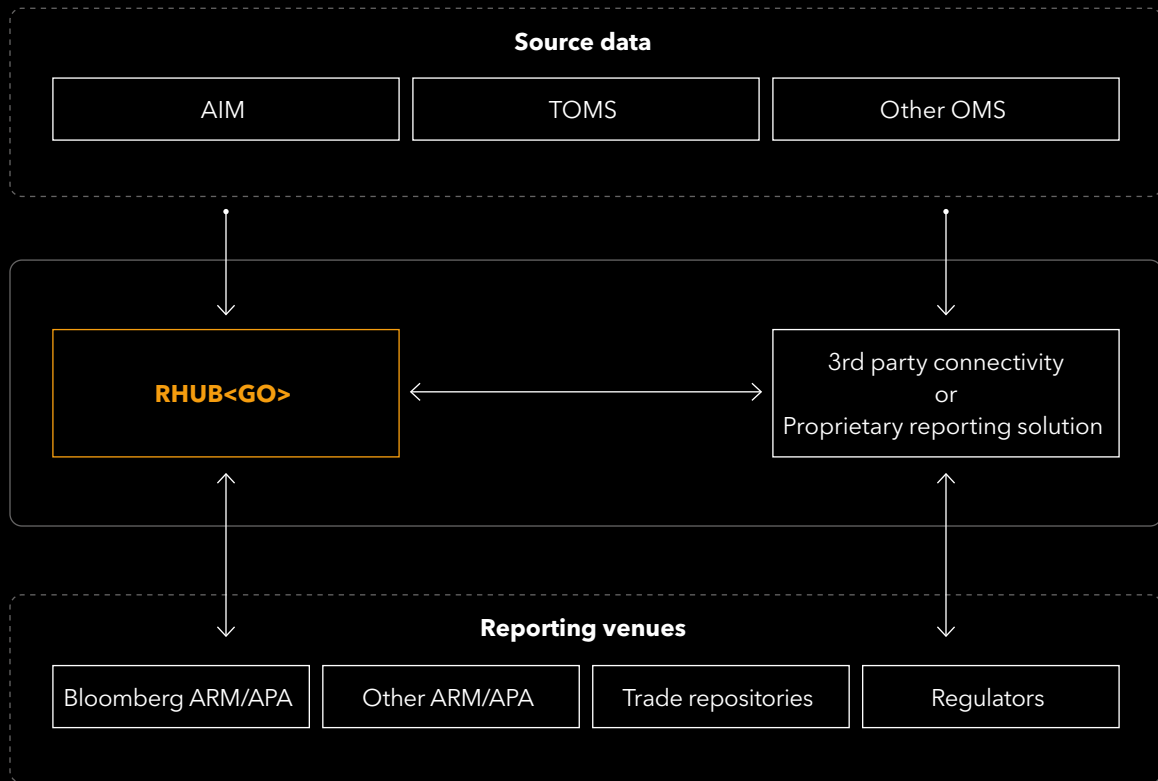
Firms can generate custom on-demand or recurring reports that can be automatically deposited on an SFTP server of their choice. Reporting performance can then be tracked over time via trending analytics.

Flexible solutions to meet every need

Reporting is easily integrated into firm's existing workflows, via standard APIs or using Bloomberg's own order management, execution and confirmation services.

Regulatory Reporting Hub – RHUB benefits

- Integrated end-to-end reporting
- Sophisticated validation rules and eligibility engine, and built-in controls
- Data enrichment with appropriate static and trusted Bloomberg data
- Connectivity to all leading reporting destinations



End-to-end reporting

A global, multi-asset class regulatory reporting suite via a single solution

To help our clients meet new and evolving regulatory reporting requirements, RHUB delivers an expansive feature set that offers the flexibility to fit your firm's specific global regulatory reporting needs.

RHUB facilitates on-time reporting of trades and transactions through enhanced tools and workflows, providing users with key statistics and exception management capabilities.

Easy integration

RHUB is fully integrated with own order management, execution and confirmation services, and is also accessible via a standard API gateway.

Exception management

RHUB allows users to edit data fields directly from the user interface. Not only does RHUB assist in identifying errors before reports are sent to a reporting venue, but it also provides suggested solutions to the user.

A complete reporting workflow

- **Reporting Eligibility** – RHUB's advanced rules engine determines reporting eligibility for trade and transaction reporting.
- **Enrichment** – RHUB enriches transactional data with appropriate static and trusted Bloomberg data.
- **Validation** – RHUB validates the accuracy of reporting via regulatory rules.
- **Reporting** – RHUB is integrated with main reporting venues globally.

Global coverage

- AMER – USA (CFTC)
- APAC – Australia (ASIC), Hong Kong (HKMA), Singapore (MAS)
- EMEA – EU (Mifid II, SFTR, EMIR)

ReconciliationBulk ModeAnalyticsToolsManage RulesRegulatory Reporting Hub

LEIACME_EUROPESearch byTrade DatesPast Week12-Jun to 19-Jun

Exception ManagementARMAPASFTRMessage

All Reports: 486

98%

Accepted428Failed8In Progress3Ineligible16

Exceptions

To Do8

By Type

RHUB Reject6Regulator Reject2Reconciliation Error0

By Due Time

Past Due0Due in 15 min2Due Today6Due Tomorrow0

My View: ARM, APA, SFTR

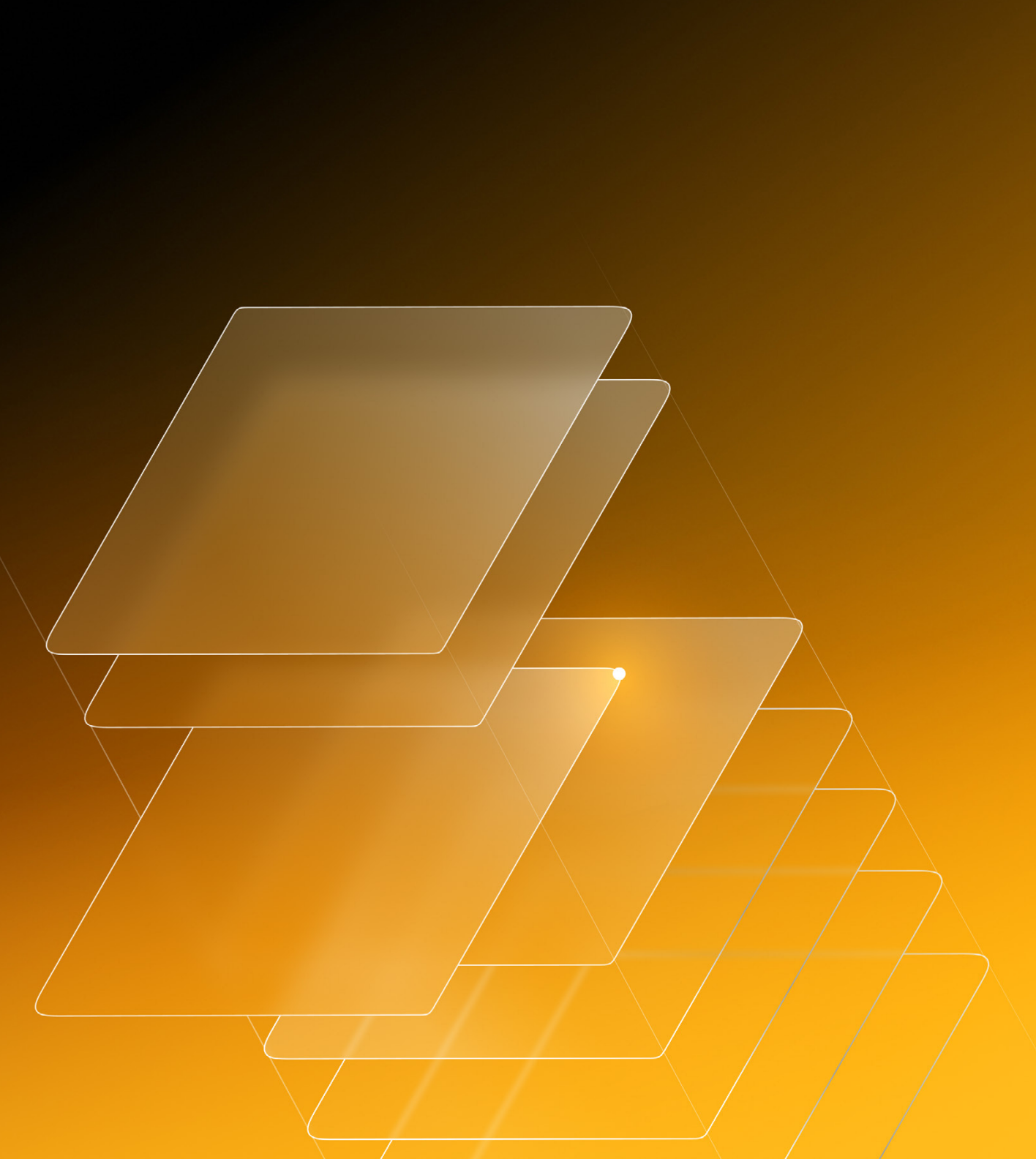
Show Filters53 Items

Group byAsset ClassSort byDue Time

	Transaction ID	Transaction Summary	Due in	Error	Error Type	Error Detail	Dest.
1)	33419T20190813	Sell 50M TSLA @ 4.3	13 min	Price Originator LEI ...	RHUB Reject	A price originator...	APA
2)	3987620459HU41	Buy 30M TSLA @ 54.2	4 hr	Invalid Venue ID Code	RHUB Reject	RHUB message co...	ARM
3)	098789720022H0	Buy 50M TSLA @ 23.1	4 hr	Trading Capacity UNKN	RHUB Reject	Failed to find GPI...	ARM
4)	98719872945245	Buy 100M TSLA @ 2.5	4 hr	Failed to Find GPI	Regulator Rej...	Con-412: Instrum...	ARM
5)	24527090987209	Sell 89M CHW @ 32. 4	4 hr	Invalid Seller LEI	RHUB Reject	XML report validat...	ARM
6)	33419T23987629	Buy 100M IBM @ 152.3	4 hr	Price Originator LEI ...	Regulator Rej...	CON-640: Commo...	ARM
7)	459HU410987897	Buy 23M ABC @ 53.7	4 hr	Net Amount Missing	RHUB Reject	Failed to find GPI...	ARM
8)	20022H09871987	Sell 50M TSLA @ 4.3	4 hr	Invalid ISIN	RHUB Reject	Failed to find GPI...	ARM

About the Bloomberg Terminal

Since 1981, business and financial professionals have depended on the Bloomberg Terminal® for the real-time data, news and analytics they need to make the decisions that matter. The Terminal provides information on every asset class – from fixed income to equities, foreign exchange to commodities, derivatives to mortgages – all seamlessly integrated with on-demand multimedia content, extensive electronic-trading capabilities and a superior communications network.



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